TOP 10 CHECKLIST

These questions are for discussion purposes, and to help us know you better, to ensure that we are providing the best service possible to you.

1) Do you have a Last Will & Testament and review it periodically? Yes No
   a. Signature date ____/____/______
   b. Last date reviewed ____/____/______
      i. Recommend; read annually for appropriateness

2) What other legal documents have you formalized?
   a. Durable Financial Powers of Attorney? Yes No
   b. Medical Powers of Attorney Yes No
   c. Living Will? Yes No
      i. Read annually for appropriateness

3) Have you reviewed beneficiaries, TOD (transfer on death), and POD (payable on death) designations for all accounts within past 3 years? Yes No

4) Does the executor of your estate know the location of your important financial information, non-financial information and valuables? Yes No

5) Do you have a mortgage? Yes No
   a. Balance __________
   b. Interest rate __________%
   c. Maturity in years __________
   d. When last refinanced (year) __________

6) Do you own life insurance? Yes No
   a. If yes, Amount ________________________
   b. Original purpose ________________________
   c. Has this changed? (How?) ________________________
   d. Have you considered refinancing? ________________________

7) Is your income protected from disability? Yes No
   a. What percentage of income is protected? __________%
   b. Starting when? ______________________
   c. Ending when? ______________________

8) Do your investments match your risk tolerance? Yes No
   a. Last reviewed ____/____/________
   b. Investment Advisor ___________________________

9) Do you have a trusted financial advisor and meet periodically? Yes No
   a. Last meeting date ____/____/________

10) Would you like to know the effects of current tax laws on your estate? Yes No

____ ____/____/ ________

Name Date